

Image
2875
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian N. Tufte

Serial No.: 10/075,488

Examiner: Negron, Ismael

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101107

TRANSMITTAL SHEET

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

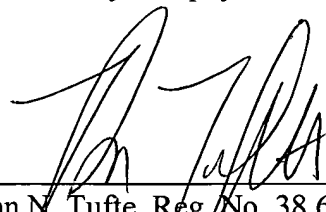
CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 16th day of December, 2003.

By: _____

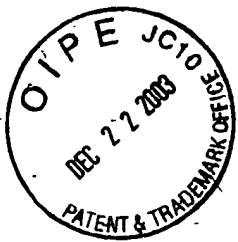
Brian N. Tufte

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement, Form PTO-1449 and 10 cited references.
- ☒ Certification for Information Disclosure Statement.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.



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CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(e)

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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By: _____

Brian N. Tufte

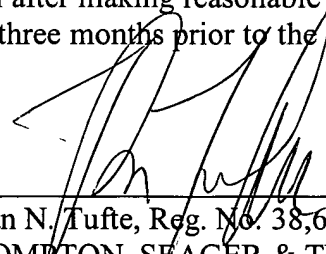
Dear Sir/Madam:

1. This certification is being made for the Information Disclosure Statement accompanying this certification.

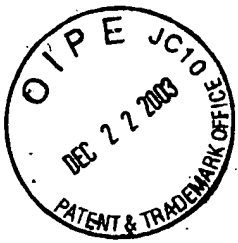
2. I, the person(s) signing below certify:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

Dated: December 16, 2003



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 16th day of December, 2003.

By: _____

Brian N. Tufte

Dear Sirs:

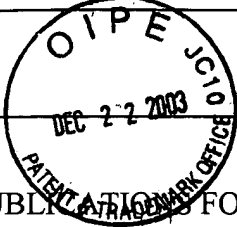
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Dated: December 16, 2003

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FORM PTO-1449 <div style="text-align: center;">  </div>	Atty. Docket No.: 1076.1101107	Serial No.: 10/075,488		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Brian N. Tufte <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Filing Date: February 12, 2002</td> <td style="width: 50%;">Group Art: 2875</td> </tr> </table>		Filing Date: February 12, 2002	Group Art: 2875
Filing Date: February 12, 2002	Group Art: 2875			

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	3,885,144	05/1975	Lewis et al.			
	4,625,266	11/1986	Winter			
	5,430,627	07/1995	Nagano			
	5,485,355	01/1996	Voskoboinik et al.			
	6,065,852	05/2000	Crumley			
	6,082,867	07/2000	Chien			
	6,129,442	10/2000	Hoeftling et al.			
	6,186,649	02/2001	Zou et al.			
	6,278,827	08/2001	Sugiyama et al.			
	6,526,200	02/2003	Davie			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.